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HD/GB/21 based on PTO/SB/21 (08-00)

9200/3745



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	08/950,826
Filing Date	10/15/97
First Named Inventor	Yukio Uemura et al.
Group Art Unit	3743
Examiner Name	J. Ford
Attorney Docket Number	4041J0063CPA

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)

- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)

- ☐ Response to Missing Parts/
Incomplete Application
- ☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to
Group
- ☐ Appeal Communication to Board of
Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

SEE REMARKS

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

Petition to Withdraw Holding of Abandonment under 37 CFR Section 1.181(a) with attached copies of Transmittal Form with Certificate of Mailing; Fee Transmittal Form with Certificate of Mailing; Notice of Appeal with its Certificate of Mailing; check stub for \$720.00 (Notice of Appeal + Two Month Ext. of Time); a copy of our return postcard sent with reply; and postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name Michael J. Schmidt	Reg. No. 34,007
Signature			
Date	MAR 1, 2002		

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name	Michael J. Schmidt		
Signature		Date	MARCH 1, 2002

Please type a plus sign (+) inside this box → ☐

H 21 based on PTO/SB/21 (08-00)

dt.
2/10/02

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 08/950,826
Filing Date October 15, 1997
First Name and Inventor Yukio Uemura et al.
Group Art Unit 3743
Examiner Name J. Ford

Total Number of Pages in This Submission 10 Attorney Docket Number 4041J0063CPA

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☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

Postcard

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Harness, Dickey & Pierce, P.L.C.

Attorney Name
Michael J. Schmidt

Reg. No.
34,007

Signature

Date

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Typed or printed name Michael J. Schmidt

Signature

Date

Jan 2, 2002

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

MAR 11 2002

Complete if Known

TOTAL AMOUNT OF PAYMENT

(\$)

Application Number

08/950,826

Filing Date

October 15, 1997

First Named Inventor

Yukio Uemura et al.

Examiner Name

J. Ford

Group / Art Unit

3743

Attorney Docket No.

4041J0063CPA

METHOD OF PAYMENT (check one)

1. ☐

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

08-0750

Deposit
Account
Name

Harness, Dickey & Pierce, P.L.C.

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	400
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	320
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____


*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 720

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael J. Schmidt	Registration No. Attorney/Agent	34,007	Telephone	248-641-1600
Signature				Date	Jan 2, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

HARNES, DICKEY & PIERCE, P.L.C. HONORABLE COMMISSIONER OF

14716

DATE	INVOICE NUMBER	MEMO	BALANCE
12/27/2001	4041J-000063-CPA-MJS	EXTENSION	720.00
CHECK DATE	CHECK NUMBER		
12/27/2001	000014716	FILE COPY	
		TOTAL	720.00

WLS811-2-LM-3 THE RELIANCE GROUP 248-478-6620

PRINTED IN U.S.A.





Applicant: Yukio Uemura et al.	Case No.: 4041J0063CPA
Serial No.: 08/950,826	Filing Date: October 15, 1997
Title: Air Conditioning Apparatus	

Please acknowledge receipt of: Transmittal Form (in duplicate); Fee Transmittal Form (in duplicate); Check for \$720.00 (Notice of Appeal + Two Month Ext. of Time); Notice of Appeal (in triplicate); and postcard.

By stamping and returning to Harness, Dickey & Pierce, P.L.C.

USPTO Date Stamp

Due: 10/10/01

Attorney: HKM/MJS